SAR

as

Formative Assessment

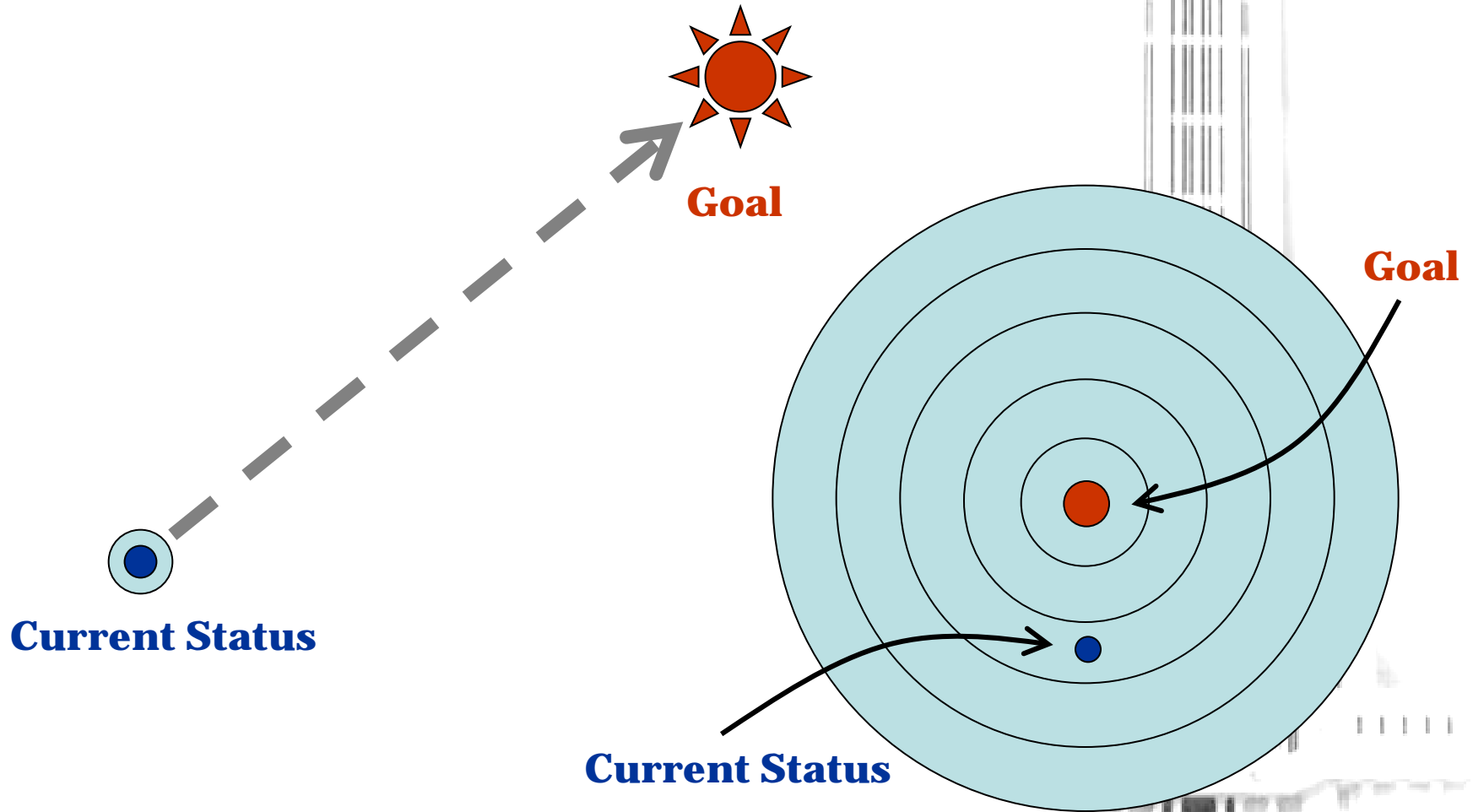### By

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February 9, 2008

Four Core Elements

1. Identify the *"gap,"*

2. Feedback,

3. Involvement of personnel, and

4. Performing progressions.

"Gap"

Goal

Current Status

Goal

Current Status

Feedback

Feedback at two levels

(i) Peers or SAR

(ii) Internal Audit

The feedback should inform what the next step in performing should be.

∴ Feedback provide clear, descriptive, criterion-based information that indicates to the unit where it stands, and what it differs from the desired goal, and how they can move forward

Involvement

The improvement of the unit depends on the active involvement of the members of the unit in their own assessment

➢Develop a shared understanding of the current status, and

➢What need to be done to move forward

➢Then, develop strategies and tactics to meet the needs.

Progressions

The exercise of SAR & Internal Audit must be linked to performing progression.

The performing progression should clearly articulate the goals & sub-goals that constitute progress toward the ultimate goal.

The members of the unit must have short-term goals. Success criteria provide the framework within which formative assessment takes place and make possible the interpretation of evidence.

Conclusion

The members should have:

➢ Concept

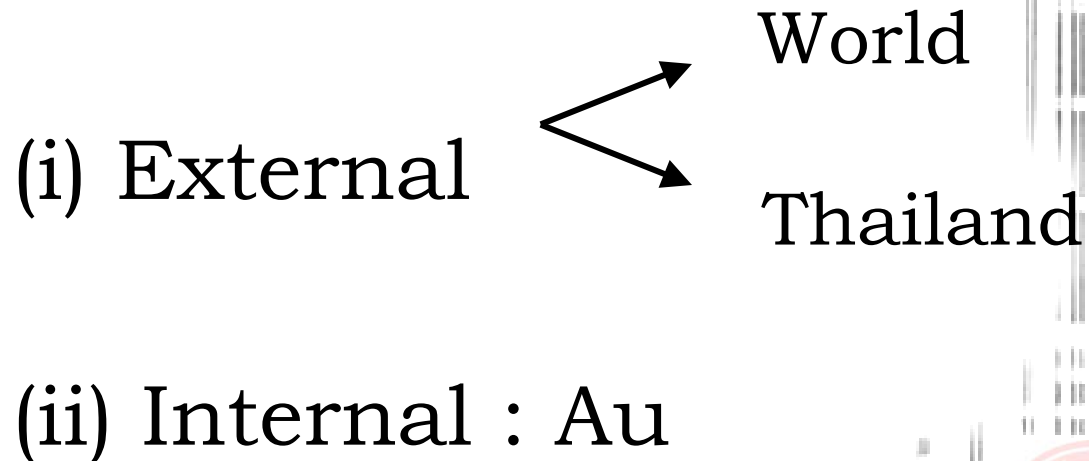➢ Knowledge, and   of SAR

➢ Skill

AU Strategic Plan

2008 - 2022

Strategic Planning

➢ Environment

                                         World

   (i) External ⟨

                                        Thailand

   (ii) Internal : Au

7 Factors Affecting Thai Higher Education

- Demography
- Sufficiency Economy
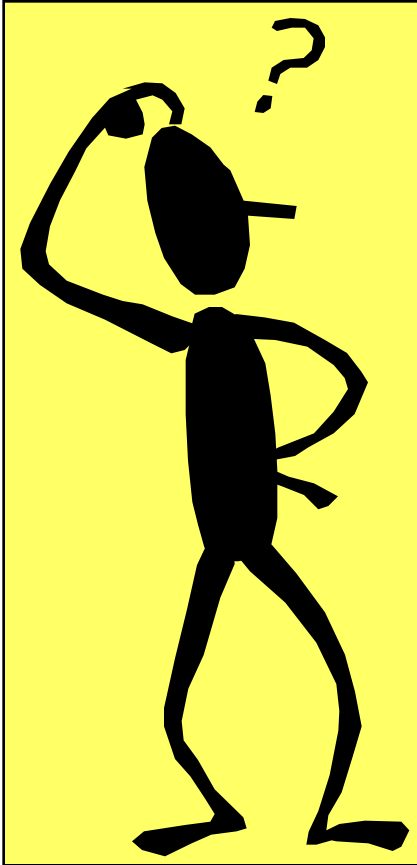- Energy & Environment
- Post-Modernization
- Employment
- Decentralization
- Conflict & Violence

9 Internal Factors
Affecting Higher Education

➤ links between higher education and other levels of education,

➤ current solutions to higher education,

➤ governance and management,

➤ development of national competitiveness,

➤ higher education financing,

➤ development of faculty and staff in higher education,

➤ higher education networks,

➤ development of higher education in the southern part of Thailand, and
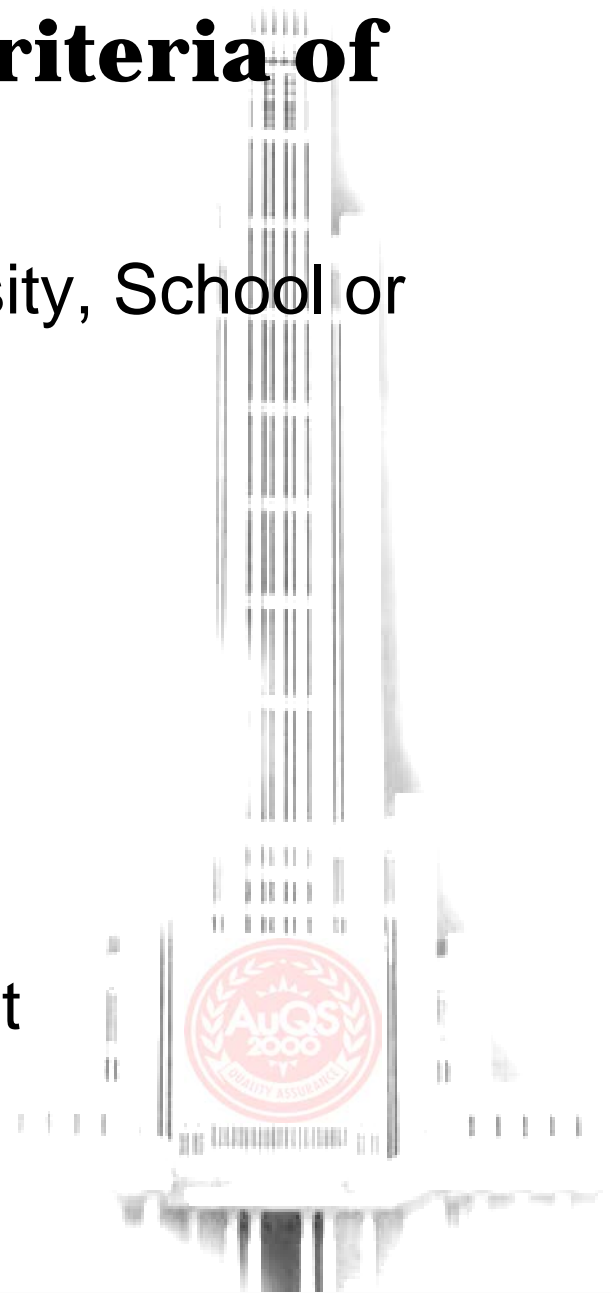
➤ basic learning structure

10 Accreditations Criteria of Institution

➢ Mission and Goal of the University, School or Program

➢ Teaching and Learning

➢ Student Development

➢ Research

➢ Faculty and Staff Development

➢ Academic Services

➢ Preservation of Art and Culture

➢ Administration and Management

➢ Finance and Budget

➢ Quality Assurance System

AU Strategic Plan
2003 – 2020

Au Phases of Development

➢ Phase I : Stabilization
            (2003-2006)

➢ Phase II : Raise the Bar of Quality
            (2007-2011)

➢ Phase III : Attain Excellence
            (2012-2020)

Au Strategic Planning

(2003-2020) ⟶ (2008-2022)

Au Strategic Plan 2008-2022

➤ **Phase I** *: Stabilization (2008-2012)*
   Building, Strengthening, and
   Stabilizing Au Foundation leading to
   "Education Excellence"

➤ **Phase II** *: Raise the Bar of Quality (2013-2017)*
   Achieving "Education Excellence" by
   Raising the Bar of Quality

➤ **Phase III** *: Attain Excellence (2018-2022)*
   Attaining "Education Excellence"
   through national and international
   benchmarking

Translation of Strategic Plan

➢ Themes:

1. Teaching and Learning

2. Academic and Research Achievement

3. Stakeholders-University Engagement

4. Resources

5. Processes

6. High-Performing Organization

Strategic Goals

Theme 1 : Teaching and Learning

P1 1.1   AU will create **a positive learning environment** that enables students to achieve their full academic potential and to cultivate their personal development.

P1 1.2   AU will create **a curriculum meeting** the highest standards of excellence across the University.

P1 1.3   AU will develop a system for **academic advising** that meets the needs of the students and leads to academic success.

P2 1.4   AU's program develops strong **students' competency** of knowledge and skills and effectively prepare students as competent and ethical citizens.

P2 1.5   AU will continually improve and innovate on **the quality of our program** offerings and their delivery and link it to the National Qualification Framework at all levels.

P2 1.6   AU will include more **international aspects** in its curriculum context and content.

Theme 2 : Academic and Research Achievement

P1 2.1 AU will develop **a culture of assessment** and **evidence-based decision-making** across all units in the University.

P1 2.2 AU will develop mechanisms to ensure that **teaching and learning** across the University system, are consistent at the minimum standard of **CHE and ONESQA level.**

P1 2.3 **AU graduates** and alumni should be **recognized leaders** in their respective careers.

P1 2.4 AU will maintain **an emphasis on research** as an important component of the teaching mission of the university.

P2 2.5 AU will develop mechanisms to ensure that **teaching and learning** across the University system, are consistent at the minimum standard of **TQA level.**

P2 2.6 AU will initiate and implement **interdisciplinary** and **multidisciplinary research.**

Theme 3 : Stakeholders-University Engagement

P1 3.1 AU will develop **relationships** with community, business and industry and stakeholders.

P2 3.2 AU will develop closer **effective partnerships** with the University's local, national and international communities and strategic partners.

Theme 4 : Resources

P1 4.1 AU will operate **planning** and review processes that drive achievement of the University's strategic objectives.

P1 4.2 AU will maintain the University's Commitment to **Financial Sustainability**

P1 4.3 AU will provide **an infrastructure** that supports teaching, learning, and research of the highest quality.

P1 4.4 AU will identify areas of **wasted resources** and alleviate the concerns so that institutional assets can be used to educate, to research, and to serve the stakeholders and to achieve greater academic and administrative efficiencies throughout the university system.

P2 4.5 AU will ensure that the effectiveness and efficiency established in Phase 1 is sustained through university wide **use of ICT** to support its academic and administrative works.
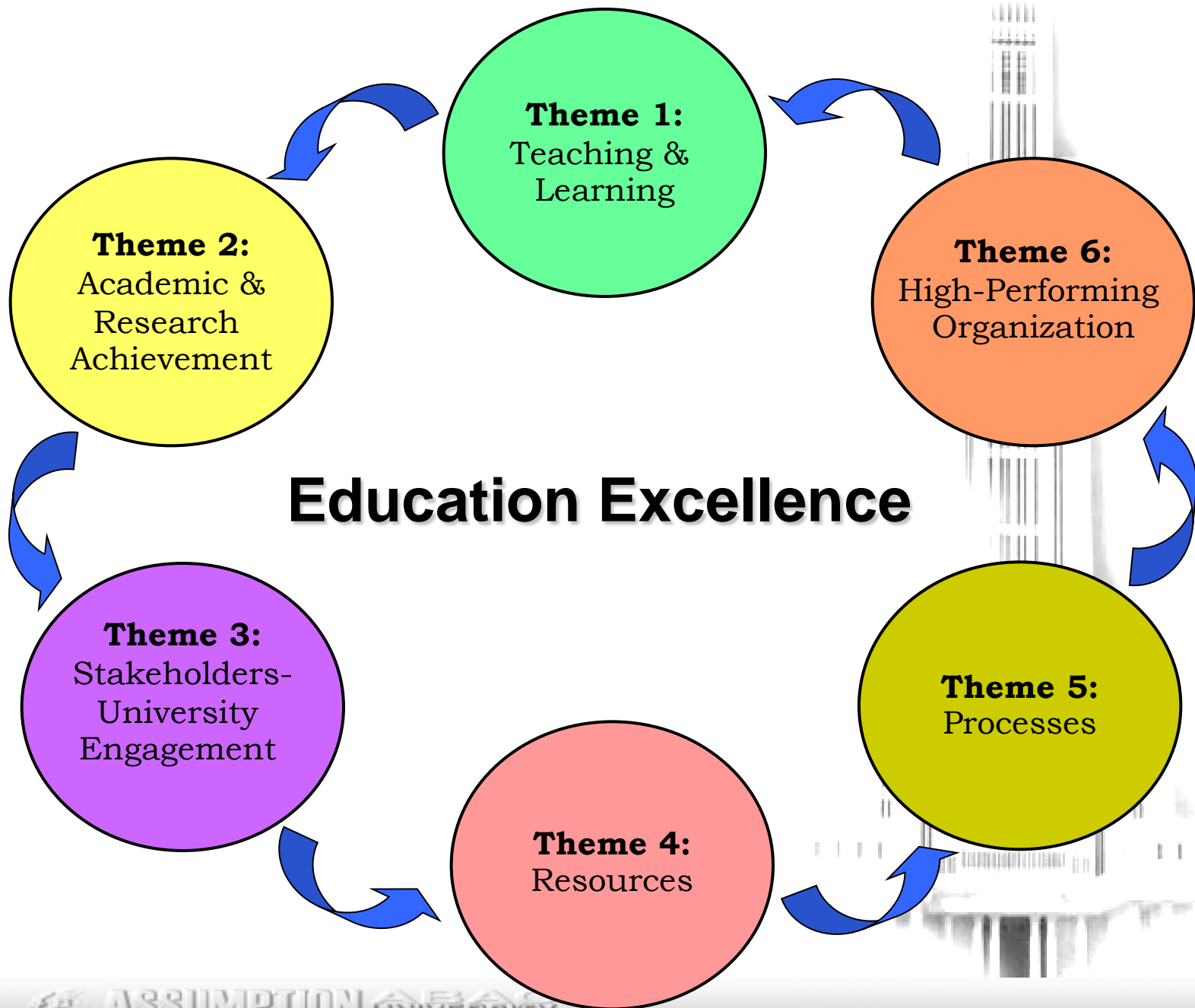
Theme 5 : Processes

P1 5.1   AU will make a concerted university wide effort to achieve **an annual growth rate** of full-time equivalent students.

P1 5.2   AU will identify its traditions, values, culture and other identifiers (academic programs, services, etc.) to create **an institutional identity.**

P1 5.3   AU will provide **technologies** that will meet and enhance the instructional, administrative and research requirements of faculty, staff and students with a stakeholders' centered quality strive.

P1 5.4   AU will have each school develop or update its own **strategic plan** by May of each academic year to ensure that it includes the steps necessary to attain or maintain superior academic status by the year 2010.

P2 5.5   AU will create a distinctive **international educational experience** for AU students through a blended Thai and Intenrational culture.

P2 5.6   AU will nurture the **personal and intellectual growth** of students by building community in social, civic, and academic realms.

P2 5.7   AU will create a community and stakeholders' centered **public service culture.**

Theme 6 : High-Performing Organization

P1 6.1 AU will create **an academic culture** that promotes and rewards higher faculty and students interactions through a clear and open line of communication.

P1 6.2 AU will create, maintain and sustain **a conducive teaching, learning and research environment** that support stakeholders as human assets to reach their full potential.

P1 6.3 AU will continuously develop **its human resources** by focusing on academic and administrative staff development and performance expectations.

P2 6.4 AU will maximize the effectiveness of human resources by focusing on **academic and administrative staff development** and performance expectations.

P2 6.5 AU will strive to develop its human capital, information capital and organization capital to be strategic **capital assets** of AU.

Education Excellence

- Theme 1: Teaching & Learning
- Theme 2: Academic & Research Achievement
- Theme 3: Stakeholders-University Engagement
- Theme 4: Resources
- Theme 5: Processes
- Theme 6: High-Performing Organization

Action Plan

➤ Develop ⎰ 5-year Plan

⎱ 1-year Plan

Budget

➤ Understand the Au Strategic Plan 2008-2022

➤ Align ⎰ Strategic Plan 2003-2007

Annual Reports

SAR

Internal Audit Reports of 2003-2007